

# Financial Adviser Profile

## Overview

Steven is qualified as a Certified Financial Planner™ (CFP), which is the highest professional credential offered to members of the Financial Planning Association of Australia. Steven has held the CFP credential for over 20 years.

Steven is one of Australia's most highly awarded financial advisers having thirteen times been named by Australian Financial Review Smart Investor and Personal Investor magazines as one of Australia's Top 50 financial advisers.

Steven began his financial planning career in 1994 after completing a Bachelor of Commerce Degree (B Com) from the University of Newcastle. Steven is now the Principal of his own practice, Allen Private Wealth Advice, located in Merewether. Steven lives in Merewether with his wife, Liesel and children, Noah, Bianca and Isaac. In Steven's spare time he loves to surf, play touch football and spend time with his family.

Steven Allen is a Sub-Authorised Representative of Keeshond Pty Ltd trading as Allen Private Wealth Advice, Corporate Authorised Representative No. 312389. Authorised Representative No. 241844.

## Qualifications

Steven holds a Bachelor of Commerce Degree (B Com), is a Certified Financial Planner (CFP) and meets the competency requirements under ASIC's Regulatory Guide RG 146.

## Professional Memberships

Steven is a CFP member of the Financial Planning Association of Australia (FPA) and abides by their code of professional conduct and ethics.

## Authorisations

Steven is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds; and
- Securities



## Steven Allen

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Wealth Advice

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## Allen Private Wealth Advice - Advice Fees and Charges

Steven will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Steven's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Steven provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Allen Private Wealth Advice pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Steven Allen is a Director of Keeshond Pty Ltd trading as Allen Private Wealth Advice and will receive a salary/benefit from this company.

## Other Benefits Steven May Receive

From time to time Steven may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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This Adviser Profile has been authorised for distribution by Capstone Financial Planning Pty Ltd. AFSL No. 223135. This Adviser Profile forms part of the Financial Services Guide (FSG) and is to be read in conjunction with the FSG.